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- (6) In 40 CFR part 1068, subpart C, we identify several reporting and recordkeeping items for making demonstrations and getting approval related to various exemptions.
- (7) In 40 CFR part 1068, subpart D, we identify several reporting and recordkeeping items for making demonstrations and getting approval related to importing engines.
- (8) In 40 CFR 1068.450 and 1068.455 we specify certain records related to testing production-line engines in a selective enforcement audit.
- (9) In 40 CFR 1068.501 we specify certain records related to investigating and reporting emission-related defects.
- (10) In 40 CFR 1068.525 and 1068.530 we specify certain records related to recalling nonconforming engines.

[72 FR 53134, Sept. 18, 2007]

APPENDIX I TO PART 1039 [RESERVED]

APPENDIX II TO PART 1039—STEADY-STATE DUTY CYCLES

- (a) The following duty cycles apply for constant-speed engines:
- (1) The following duty cycle applies for discrete-mode testing:

D2 mode number	Engine speed	Torque (percent) 1	Weighting factors
1	Engine governed Engine governed Engine governed Engine governed Engine governed	100 75 50 25 10	0.05 0.25 0.30 0.30 0.10

¹ The percent torque is relative to maximum test torque.

(2) The following duty cycle applies for ramped-modal testing:

RMC mode	Time in mode (seconds)	Engine speed	Torque (percent) 1, 2
1a Steady-state 1b Transition 2a Steady-state 2b Transition 3a Steady-state 3b Transition 4a Steady-state 4b Transition 5 Steady-state	20	Engine governed	100. Linear transition. 10. Linear transition. 75. Linear transition. 25. Linear transition. 50.

- (b) The following duty cycles apply for variable-speed engines with maximum engine power below 19 kW:
- (1) The following duty cycle applies for discrete-mode testing:

G2 mode number	Engine speed ¹	Torque (percent) ²	Weighting factors
1	Maximum test speed Maximum test speed	100 75	0.09 0.20
3	Maximum test speed	50	0.29
4		25	0.30
5	Maximum test speed	10	0.07
6		0	0.05

(2) The following duty cycle applies for ramped-modal testing:

¹The percent torque is relative to maximum test torque.

²Advance from one mode to the next within a 20-second transition phase. During the transition phase, command a linear progression from the torque setting of the current mode to the torque setting of the next mode.

¹ Speed terms are defined in 40 CFR part 1065. ²The percent torque is relative to the maximum torque at the commanded test speed.

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RMC mode	C mode Time in mode (seconds) Engine speed 1, 3		Torque (percent) 2, 3	
1a Steady-state	41	Warm idle	0.	
1b Transition	20	Linear transition	Linear transition.	
2a Steady-state	135	Maximum test speed	100.	
2b Transition	20	Maximum test speed	Linear transition.	
3a Steady-state	112	Maximum test speed	10.	
3b Transition	20	Maximum test speed	Linear transition.	
4a Steady-state	337	Maximum test speed	75.	
4b Transition	20	Maximum test speed	Linear transition.	
5a Steady-state	518	Maximum test speed	25.	
5b Transition	20	Maximum test speed	Linear transition.	
6a Steady-state	494	Maximum test speed	50.	
6b Transition	20	Linear transition	Linear transition.	
7 Steady-state	43	Warm idle	0.	

(c) The following duty cycles apply for variable-speed engines with maximum engine power at or above 19 kW:

(1) The following duty cycle applies for discrete-mode testing:

C1 mode number	Engine speed ¹	Torque (percent) ²	Weighting factors
1	Maximum test speed	100	0.15
2	Maximum test speed	75	0.15
3	Maximum test speed	50	0.15
4	Maximum test speed	10	0.10
5	Intermediate test speed	100	0.10
6	Intermediate test speed	75	0.10
7	Intermediate test speed	50	0.10
8	Warm idle	0	0.15

(2) The following duty cycle applies for ramped-modal testing:

RMC mode Time in mode (seconds)		Engine speed 1, 3	Torque (percent) 2, 3	
1a Steady-state	126	Warm Idle	0.	
1b Transition	20	Linear Transition	Linear Transition.	
2a Steady-state	159	Intermediate Speed	100.	
2b Transition	20	Intermediate Speed	Linear Transition.	
3a Steady-state	160	Intermediate Speed	50.	
3b Transition	20	Intermediate Speed	Linear Transition.	
4a Steady-state	162	Intermediate Speed	75.	
4b Transition	20	Linear Transition	Linear Transition.	
5a Steady-state	246	Maximum Test Speed	100.	
5b Transition	20	Maximum Test Speed	Linear Transition.	
6a Steady-state	164	Maximum Test Speed	10.	
6b Transition	20	Maximum Test Speed	Linear Transition.	
7a Steady-state	248	Maximum Test Speed	75.	
7b Transition	20	Maximum Test Speed	Linear Transition.	
8a Steady-state	247	Maximum Test Speed	50.	
8b Transition	20	Linear Transition	Linear Transition.	
9 Steady-state	128	Warm Idle	0.	

Speed terms are defined in 40 CFR part 1065.
 The percent torque is relative to the maximum torque at the commanded engine speed.
 Advance from one mode to the next within a 20-second transition phase. During the transition phase, command a linear progression from the torque setting of the current mode to the torque setting of the next mode, and simultaneously command a similar linear progression for engine speed if there is a change in speed setting.

¹ Speed terms are defined in 40 CFR part 1065. ² The percent torque is relative to the maximum torque at the commanded test speed.

Speed terms are defined in 40 CFR part 1065.
 The percent torque is relative to the maximum torque at the commanded engine speed.
 Advance from one mode to the next within a 20-second transition phase. During the transition phase, command a linear progression from the torque setting of the current mode to the torque setting of the next mode, and simultaneously command a similar linear progression for engine speed if there is a change in speed setting.

Normalized torque (percent) 1

Normalized speed (percent)

Time(s)

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[69 FR 39213, June 29, 2004, as amended at 73 FR 37241, June 30, 2008]

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A:	PPENDIX V TO PART	1039 [RES	SERVEDI	63	1	6
				64		4
APPENDIX VI TO PART 1039—NONROAD 65						4
				66	1 0	6
	COMPRESSION-IGNI	TION CO	OMPOSITE	67	1	4
	TRANSIENT CYCLE			68	9	21
				69	25	56
		Normalized	Normalized	70	64	26
	Time(s)	speed	torque	71	60	31
		(percent)	(percent) 1	72	63	20
1		0	0	73	62	24
		0	0	74	64	8
		ő	Ö	75	58	44
		ő	0	76	65	10
		ő	Ö	77	65	12
		ő	0	78	68	23
		ŏ	0	79	69	30
		ŏ	ő	80	71	30
		ő	0	81	74	15
		ŏ	ő	82	71	23
		ő	0	83	73	20
		0	Ö	84	73	21
		ŏ	ő	85	73	19
		ő	ő	86	70	33
		ő	ő	87	70	34
		ŏ	ő	88	65	47
		ō	0	89	66	47
		ő	Ö	90	64	53
		ő	0	91	65	45
		ō	0	92	66	38
		ő	Ö	93	67	49
		ő	Ö	94	69	39
		ő	Ö	95	69	39
		1	3	96	66	42
		i	3	97	71	29
		1	3	98	75	29
		1	3	99	72	23
		i	3	100	74	22
		1	3	101	75	24
		1	6	102	73	30
		i	6	103	74	24
		2	1	104	77	6
		4	13	105	76	12
		7	18	106	74	39
		9	21	107	72	30
		17	20	108	75	22
		33	42	109	78	64
		57	46	110	102	34
		44	33	111	103	28
		31	0	112	103	28
41		22	27	113	103	19
42		33	43	114	103	32
		80	49	115	104	25
44		105	47	116	103	38
		98	70	117	103	39
46		104	36	118	103	34
47		104	65	119	102	44
48		96	71	120	103	38
		101	62	121	102	43
50		102	51	122	103	34
51		102	50	123	102	41
52		102	46	124	103	44
		102	41	125	103	37
		102	31	126	103	27
		89	2	127	104	13
		82	0	128	104	30
		47	1	129	104	19
		23	1	130	103	28
		1	3	131	104	40
60		1	8	132	104	32
		1	3	133	101	63
62		1	5	134	102	54